



FEB 12 2007

PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/569,749

Filing Date Feb 24, 2006

First Named Inventor Yasuhito Tanaka

Art Unit 2627

Examiner Name

Attorney Docket Number 075834.00552

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts <input type="checkbox"/> under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD. Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC [Appeal Notice, Brief, Reply Brief]  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Forms PTO/SB/08A and PTO/SB/08B, copy of cited references, and a postcard.
<b>Remarks</b> The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-3891.		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Rockey, Depke, Lyons & Kitzinger, LLC		
Signature			
Printed name	Paige A. Kitzinger		
Date	2/6/07	Reg. No.	45,219

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Paige A. Kitzinger

Date 2/6/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. The collection is estimated to take 2 hours per response, including the time for reviewing instructions, gathering documentation, and completing the form. This burden is not a mandatory requirement, but if you believe that the amount of time you require to complete this form is undue or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Yasuhito Tanaka et al. **Atty. Docket No.** 075834.00552

**Serial No.:** 10/569,749 **Group Art Unit:** 2627

**Filed:** February 24, 2006 **Examiner:** Stewart JoAnn

**Invention:** HEAT TREATMENT DETERMINING METHOD  
AND HEAT TREATMENT DETERMINING  
APPARATUS OF MAGNETO-OPTIC  
INFORMATION RECORDING MEDIUM

**Customer No.:** 33448

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

SIR:

In accordance with Applicant's duty of disclosure under 37 C.F.R. 1.56 and in accordance with 37 CFR §§1.97 and 1.98, Applicants and the undersigned attorney hereby submit this Information Disclosure Statement along with the accompanying forms PTO/SB/08A, and PTO/SB/08B.

In accordance with 37 C.F.R. 1.97(b)(3) this Information Disclosure Statement is submitted before the mailing of a first Office action.

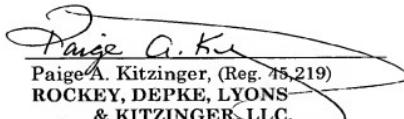
Each of the references cited in this Information Disclosure Statement were cited in the specification of the above-referenced United States patent application, serial no. 10/569,749. JP 06-290496 is not in the English language. Applicant has provided a translation of this reference which it obtained through the website of the Japanese Patent Office.

The undersigned points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge the fee to the deposit account of the undersigned firm, Account No. 50-3891.

Respectfully submitted,

Date: 2/16/07



Paige A. Kitzinger, (Reg. 45,219)  
**ROCKEY, DEPK, LYONS  
& KITZINGER, LLC.**  
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Substitute for form 1494A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	10/569,749
Filing Date	February 24, 2006
First Named Inventor	Yasuhito Tanaka
Group Art Unit	2627
Examiner Name	Stewart JoAnn
Attorney Docket Number	075834.00552

### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document Number <sup>2</sup>	Kind Code <sup>3</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Words Relating to Passages or Relevant Figures Appear
US1						
US2						
US3						
US4						
US5						
US6						
US7						
US8						
US9						
US10						
US11						
US12						
US13						
US14						
US15						
US16						
US17						
US18						
US19						
US20						

### FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>2</sup>	Number <sup>2</sup>	Kind Code <sup>3</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Words Relating to Passages or Relevant Figures Appear	T <sup>4</sup>
/A.S./	F1	JP	06-290496		Canon Inc.	10/18/1994		
	F2							
	F3							
	F4							
	F5							
	F6							
	F7							
	F8							
	F9							
	F10							

Examiner Signature

/Andrew Sasinowski/

Date Considered

09/16/2008

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached funds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.S./

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Substitute for Form 1449B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application Number</b>	10/569,749
		<b>Filing Date</b>	February 24, 2006
		<b>First Named Inventor</b>	Yasuhito Tanaka
		<b>Group Art Unit</b>	2627
		<b>Examiner Name</b>	Stewart Jo Ann
(use as many sheets as necessary)		Attorney Docket Number	
Sheet	2	of	2

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OTHER PRIOR ART – NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Andrew Sasinowski/	Date Considered	09/16/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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